

05-07-01

Attorney Docket No. 2271/64858

Total Pages 3

Express Mail Label No. EL628789253US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Hiroshi SHIBATA

Inventor

 11046 U.S. PTO
 09/846991
 10/10/01

 METHOD AND APPARATUS FOR DATA COMMUNICATIONS
 CAPABLE OF AUTOMATICALLY SENDING A MAINTENANCE REQUEST

Title of Invention

APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages 47 including:
 - a. 20 pages of claims (46 claims)
 - b. 1 page(s) Abstract
2. X 12 sheets of informal X formal drawings (Figs. 1-12B)
3. X Oath or declaration of Applicant(s) (3 total pages)
 - a. Newly executed (original)
 - b. X Unexecuted
 - c. Copy from a prior application (37 CFR 1.63(d))
 (for continuation/divisional with Item 14 completed)
4. Deletion of Inventor(s)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. An assignment of the invention to (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement (when there is an assignee)
9. X Power of Attorney
 - a. Newly executed (original)
 - b. X Unexecuted
 - c. Copy from a prior application

 05/01/01
 11052 U.S. PTO

10. ___ Information Disclosure Statement (IDS)/PTO-1449

___ Copies of IDS Citations

11. ___ A Preliminary Amendment

12. X Return Receipt Postcard (MPEP 503)

13. ___ Applicant(s) hereby establish small entity status under 37 C.F.R. §1.9 and §1.27

14. ___ If a Continuing Application, *check the appropriate box and supply the requisite information:*☐ Continuation of prior application No.: _____ filed _____☐ Divisional of prior application No.: _____ filed _____☐ Continuation-in-part (CIP) of prior application No.: _____ filed _____15. ___ Amend the specification by inserting before the first line of page one: --This is a ___ continuation
___ divisional of application Serial No. _____ filed ____--16. X Priority of application No. 2000-133419 filed in Japan on May 2, 2000. Applicant hereby
claims priority under 35 U.S.C. § 119.X A certified copy of priority application No. 2000-133419 will be submitted in due course.___ A certified copy of priority application No. _____ has been filed in prior application
S.N. _____ filed _____

17. ___ Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	Number Filed		Number Extra*		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	46 - 20	=	26	x	\$ 9	\$ 18	=	\$ 0	\$ 468
Indep. Claims	11 - 3	=	8	x	\$ 40	\$ 80	=	\$ 0	\$ 640
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$135	\$270	=	\$ 0	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 355	\$ 710
					Total Fee			\$	\$ 1,818

18. X A check in the amount of \$ 1,818.00 to cover the filing fee.

19. A check in the amount of \$ for recordation of the Assignment.

20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.

 X Filing fees under 37 C.F.R. § 1.16.

 X Patent application processing fees under 37 C.F.R. § 1.17.

 The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).

21. X Three copies of this sheet are enclosed.


22. Other (identify)

CORRESPONDENCE ADDRESS:

Ivan S. Kavrukov
Registration No. 25,161
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
United States of America
Tel.: (212) 278-0400
Fax: (212) 391-0525

Respectfully submitted,

May 1, 2001
Date


Richard F. Jaworski, Reg. No. 33,515
Attorney for Applicant
c/o Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Hiroshi SHIBATA

Serial No. :

Group Art Unit:

Date Filed : Concurrently Herewith

Examiner:

For : METHOD AND APPARATUS FOR DATA
COMMUNICATIONS CAPABLE OF AUTOMATICALLY
SENDING A MAINTENANCE REQUEST

1185 Avenue of the Americas
New York, N.Y. 10036

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

EXPRESS MAIL
LETTER OF TRANSMITTAL

Express Mail mailing label number: EL628789253US

Date of Deposit: May 1, 2001

I hereby certify that the above-identified application (consisting of a 47-page specification (including 20 pages of claims (46 claims) and a 1 page Abstract), and 12 sheets of formal drawings (Figs. 1-12B)), 3 copies of an Application Transmittal Form, unexecuted Declaration and Power of Attorney, and a check for the \$1,818.00 filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Mail Room Employee
Depositing Express Mail Material

Attorney for Applicant:
IVAN S. KAVRUKOV, Reg. No. 25,161
Cooper & Dunham LLP
Tel: (212) 278-0400